

## Account Record

### Account Name and Address:

Account #: XXX-70824-0MJ9

Account Name & Address:

ALEXANDER PAUMGARTEN A/H  
HENRY PAUMGARTEN D/B  
OUTSIDE 529 PLAN  
90 FOSTER FARM RD  
STOWE VT 05672-5073

### Customer Information:

First Name: ALEXANDER

Middle Name:

Last Name: PAUMGARTEN

Street: 90 FOSTER FARM RD

Apt./Suite:

City: STOWE

State: VT

Zip: 056725073

Country: United States

### Customer Information:

Employment Status: Employed

Occupation: Realtor

Employer/Nature of Business: Pallspera

Associated with or Employed by Member Firm: No

Associated with or Employed by JPMC: No

DOB: 05/12/1971

U.S. Federal Tax Rate: <=28%

Marital Status: ~~Single~~ Married 

SSN/Tax ID: On File

Home Phone: 802-272-6605

Business Phone: 802-272-6605

Fax:

E-mail Address: xander@pallspera.com

Citizenship:

Annual Income: \$75,000 - \$99,999

Net Worth: \$1,000,000 - \$2,499,999

Liquid Net Worth: \$1,000,000 - \$2,499,999

### Financial Information and Experience:

Annual Income: \$75,000 - \$99,999

Net Worth: \$1,000,000 - \$2,499,999

Liquid Net Worth: \$1,000,000 - \$2,499,999

Other Investments: \$200,000

Those assets are invested in:

Other

Most of these assets are invested in:

Other

Investment Experience (in years):

Stocks: >10

Options: 4-7

Mutual Funds: >10

Fixed Income: >10

CD's: >10

Margin: >10

Alternative Investments: 0-3

Structured Products: 0-3

Futures/Commodities: 0-3

### Account Profile:

Account Type: OUTSIDE 529 PLAN BY IND

Primary Investment Objective: Growth

Secondary Investment Objective: Income

Risk Tolerance: Aggressive

Primary Liquidity Needs: Long Term

Secondary Liquidity Needs: None

Investment Time Horizon (in years): 5-10

Client Signature: 

Date: 5/20/18

Client Signature: \_\_\_\_\_

Date: \_\_\_\_\_

## Account Record

### Account Name and Address:

Account #: XXX-70823-0MJ9  
Account Name & Address:  
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LULA B PAUMGARTEN D/B  
OUTSIDE 529 PLAN  
90 FOSTER FARM RD  
STOWE VT 05672-5073

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Middle Name:  
Last Name: PAUMGARTEN  
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Apt./Suite:  
City: STOWE  
State: VT  
Zip: 056725073  
Country: United States

### Customer Information:

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Employer/Nature of Business: Pallspera  
Associated with or Employed by Member Firm: No  
Associated with or Employed by JPMC: No  
DOB: 05/12/1971  
U.S. Federal Tax Rate: <=28%  
Marital Status: ~~Single~~ Married (xp)  
SSN/Tax ID: On File  
Home Phone: 802-272-6605  
Business Phone: 802-272-6605  
Fax:  
E-mail Address: xander@pallspera.com  
Citizenship:  
Annual Income: \$75,000 - \$99,999  
Net Worth: \$1,000,000 - \$2,499,999  
Liquid Net Worth: \$1,000,000 - \$2,499,999

### Financial Information and Experience:

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Net Worth: \$1,000,000 - \$2,499,999  
Liquid Net Worth: \$1,000,000 - \$2,499,999  
Other Investments: \$200,000  
Those assets are invested in:  
Other  
Most of these assets are invested in:  
Other  
Investment Experience (in years):  
Stocks: >10  
Options: 4-7  
Mutual Funds: >10  
Fixed Income: >10  
CD's: >10  
Margin: >10  
Alternative Investments: 0-3  
Structured Products: 0-3  
Futures/Commodities: 0-3

### Account Profile:

Account Type: OUTSIDE 529 PLAN BY IND  
Primary Investment Objective: Growth  
Secondary Investment Objective: Income  
Risk Tolerance: Aggressive  
Primary Liquidity Needs: Long Term  
Secondary Liquidity Needs: None  
Investment Time Horizon (in years): 5-10

Client Signature: \_\_\_\_\_

Client Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_